

2022 UNIGESTION DIRECT AND COLLABORATIVE ENGAGEMENT INITIATIVES

Engagement Catalyst	Company Name	Ticker	ESG Category	Topics engaged on
ESG/Controversy	Activision Blizzard	ATVI US Equity	S	Human Rights, Employee Incidents
Voting	Costco Wholesale Corporation*	COST US Equity	E	Climate Change, GHG Emissions
Voting	Metro Inc	MRU CN Equity	E, S, G	Climate Change, Waste Management, Women Representation at Management Level
Client Specific	Danone	BN FP Equity	S	Fairness ratio
Voting	Kone Oyj*	KNEBV FH Equity	G	Board Independence, Board Composition
Voting	Apple Inc*	APPL US Equity	S	Gender/Racial Pay Gap, Human Rights
ESG/Controversy	Posco	5490 KS Equity	E, S	Climate Change, Waste management, Deforestation, Biodiversity, Employee Incidents
ESG/Controversy	Equatorial Energia SA	EQTL3 BZ Equity	E, S	Climate Change, Waste management, E-disclosures
ESG/Controversy	Idemitsu Kosan	5019 JP Equity	E, S	Climate Change, Waste management, E-disclosures
Voting	Walt Disney*	DIS US Equity	S, G	Lobbying Payments and Policies, Gender/Racial Pay Gap, Human Rights
ESG/Controversy	Rio Tinto*	RIO AU Equity	S	Human Rights, Community Incidents
ESG/Controversy	Qualcomm Inc*	QCOM US Equity	S	Customer Incidents
Thematic	LEG Immobilien	LEG GR Equity	E	Climate Change, GHG emissions, Climate Transition
Voting	Berkshire Hathaway*	BRK/B US Equity	E, S	Climate Change, GHG emissions, Diversity & Inclusion
Voting	BP	BP/ LN Equity	E	Climate Change, GHG emissions, Climate Transition, Net Zero
ESG/Controversy	Novo Nordisk	NOVOB DC Equity	S	Customer Incidents
ESG/Controversy	Roche	ROG SW Equity	S	Customer Incidents
Voting	Amazon.com*	AMZN US Equity	E	Climate Change, Plastic Packaging, Employees Rights
Voting	JPMorgan Chase	JPM US Equity	E	Climate Change, GHG Emissions, Net Zero
Voting	Travelers Companies	TRV US Equity	E	Climate Change, GHG Emissions, Net Zero
Voting	Walmart*	WMT US Equity	S	Racial Pay Gap, Human Rights
Voting	Alphabet*	GOOGL US Equity	E	Climate Change, Climate Lobbying, Water Management
Voting	Comcast*	CMCSA US Equity	E	Climate Change, Employees Rights
Voting	Kroger*	KR US Equity	E	Climate Change, Plastic Packaging, GHG Emissions
Voting	Mitsubishi	8058 JP Equity	E	Climate Change, GHG Emissions, Climate Transition, Net Zero
ESG/Controversy	Unilever*	UNA NA Equity	E, S	Climate Change, Plastic Packaging, Human Rights
ESG/Controversy	Tesco	TSCO LN Equity	S	Customer Incidents
ESG/Controversy	Henkel AG & Co KGaA	HEN GR Equity	S	Customer Incidents
ESG/Controversy	Barry Callebaut	BARN SW Equity	S	Social Incidents, Human Rights
Voting	General Mills*	GIS US Equity	E	Climate Change, Plastic use in packaging
ESG/Controversy	Hon Hai Precision Industry	2317 TT Equity	S	Employee Incidents, Human Rights
Client-Specific	BB Seguridade Participacoes SA	BBSE3 BZ Equity	G	Board Independence, Board Composition
Client-Specific	Pegatron Corporation	4938 TT Equity	G	Board Independence, Board Composition
Client-Specific	Uni-President Enterprises Corp	1216 TT Equity	G	Board Independence, Board Composition



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Thematic	Nidec	6594 JP Equity	E	Climate Change, Climate Transition, ESG Disclosures
Client-Specific	Norsk Hydro*	NHY NO Equity	E	Climate Change, Climate Transition, ESG Disclosures
ESG/Controversy	Target*	TGT US Equity	S	Social Incidents, Human Rights, Health & Safety
Client-Specific	AMERICA MOVIL SA DE CV	AMXL MM Equity	S	Gender Pay Gap
Client-Specific	Wal-Mart de Mexico	WALMEX* MM	S	Gender Pay Gap
Client-Specific	Taiwan Semiconductor Manufacturing	2330 TT Equity	S	Gender Pay Gap
Client-Specific	Nippon Telegraph and Telephone*	9432 JP Equity	S	Gender Pay Gap
Client-Specific	JERONIMO MARTINS SGPS SA	JMT PL Equity	S	Fairness Ratio
Client-Specific	Telefonica	TEF SM Equity	S	Fairness Ratio
Client-Specific	Ubisoft Entertainment*	UBI FP Equity	S	Employee Incidents, Human Rights, Workplace Conditions
Thematic	Novo Nordisk (B)	NOVOB DC Equity	S	Customer Incidents, Pricing Policies
Thematic	Bridgestone	5108 JP Equity	E	Climate Change, Climate Transition, ESG Disclosures
Thematic	Shimano*	7309 JP Equity	E	Climate Change, Climate Transition, ESG Disclosures
Thematic	Ahold Delhaize*	AD NA Equity	E	Climate Change, Climate Transition, ESG Disclosures
Thematic	A. O. Smith	AOS US Equity	E	Climate Change, Climate Transition, ESG Disclosures
Thematic	Bloom Energy	BE US Equity	E	Climate Change, Climate Transition, ESG Disclosures
Thematic	Expeditors International of Washington*	EXPD US Equity	E	Climate Change, Climate Transition, ESG Disclosures
Thematic	AutoZone*	AZO US Equity	E	Climate Change, Climate Transition, ESG Disclosures
Thematic	Sika	SIKA SW Equity	E	Climate Change, Climate Transition
Thematic/ ESG/Controversy	United Parcel Service (B)*	UPS US Equity	S	Employee Incidents, Human Rights, Workplace Conditions
Client-Specific	Reckitt Benckiser	RKT LN Equity	S	Employee Incidents, Human Rights
Client-Specific	HUGO BOSS AG	BOSS GY Equity	S	Employee Incidents, Human Rights
Collaborative	Unilever* – collaborative Engagement with PSIA	UNA NA Equity	E	Climate Change, Plastic use in packaging, ESG Disclosures
Collaborative	Enbridge* – collaborative Engagement with CA100+	ENB CN Equity	E	Climate Change, ESG Disclosures
Collaborative	Nestlé * - collaborative Engagement with FAIRR	NESN SW Equity	E	Sustainable Protein, ESG Disclosures

* = previous engagements occurred